

APPROVED
for
PTO DEPOSIT ACCOUNT CHARGE
ACCOUNT #04-1700

Express Mail Number: EL336511976US

Date Deposited: 10/20/2003

PTO/SB/05 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

10/689258
102003

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 5820.640

First Inventor Daniel E. Resasco et al.

Title METHOD FOR PRODUCING SINGLE WALLED CARBON NANOTUBES

Express Mail Label No. EV336511976US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 48]
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (*if filed*)
 - Detailed Description -Redline Specification ____ pgs
 - Claim(s) -Clean Specification ____ pgs
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 24]
5. Oath or Declaration [Total Pages 3]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Mail Stop Patent Appl., Comm. for Patents
PO Box 1450
Alexandria, VA 22313-1450

7. CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
8. Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of Attorney
(when there is an assignee)
11. English Translation Document (*if applicable*)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: SEE BELOW

18. This application is:

Continuation Divisional Continuation-in-part (CIP)

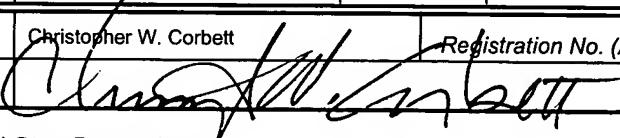
Prior application information: Examiner Unknown

of prior application No.: 10/118,834

Group Art Unit: 1754

As a CON, DIV, or CIP, this application contains one or more changes to (1) the specification; (2) drawings; or (3) claims in the above-identified prior application. A red-line version of the application showing these changes will be made available to the examiner upon request.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	30589			<input type="checkbox"/> Correspondence address below
(Insert Customer No. or Attach bar code label here)				
Name	Dunlap, Codding & Rogers, P.C.			
Address	P. O. Box 16370			
City	Oklahoma City	State	OK	Zip Code
Country	USA	Telephone	(405) 607-8600	Fax
Name (Print/Type)	Christopher W. Corbett	Registration No. (Attorney/Agent)	36,109	
Signature			Date	10/20/03

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*The Assignment for this case is the same as recorded in the prior application at Reel 013122, frame 0027 as well as the Power of Attorney and 37 CFR 3.73(b) Statement.

17) 1. Utility Patent Application Transmittal (1 page); 2. Fee Transmittal (1 page) Authorizing the Commissioner to charge indicated fees of \$394.00 (for Utility Filing Fee \$385.00 and \$9.00 for extra claim fee) or any additional fee required under 37 C.F.R. 1.16 and 1.17 and/or credit any overpayments to Deposit Account Name Dunlap, Codding & Rogers, P.C.; 3. Patent Application Fee Determination Record (1 page); 4. Complete Application for Publication (48 pages) (Divisional of USN 10/118,834, filed 04/08/2002) including: (A) Specification (41 pages); (B) 21 Claims (6 pages); (C) Abstract (1 page); (D) 3 pages of Combined Declaration and Power of Attorney (from prior application); and (E) 24 sheets of formal drawings with labels identifying Indicia; 6. Information Disclosure Statement (3 pages); 7. Form 1449 (modified) (4 pages); 8. Return receipt postcard

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11/75 U.S.P.T.O.
 102003

FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT	(\$)	394
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Complete if Known

Application Number	Not Yet Assigned
Filing Date	Herewith
First Named Inventor	Daniel E. Resasco et al.
Examiner Name	Unknown
Art Unit	1754
Attorney Docket No.	5820.640

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order None
 Deposit Account:

Deposit Account Number	04-1700
Deposit Account Name	Dunlap, Coddng & Rogers, P.C. Customer No. 30589

The Commissioner is authorized to: (check all that apply)
 Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	385		
1002 340	2002 170	Design filing fee			
1003 530	2003 265	Plant filing fee			
1004 770	2004 385	Reissue filing fee			
1005 160	2005 80	Provisional filing fee			
SUBTOTAL (1)		(\$)	385		

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
21	3		** = 1 X 9	= \$9	
			** = 0 X 43	= \$0	
					\$0

Large Entity	Small Entity	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$) 9

*** or number previously paid, if greater; For Reissues, see above*

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath			
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet			
1053 130	1053 130	Non-English specification			
1812 2,520	1812 2,520	For filing a request for ex parte reexamination			
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action			
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action			
1251 110	2251 55	Extension for reply within first month			
1252 420	2252 210	Extension for reply within second month			
1253 950	2253 475	Extension for reply within third month			
1254 1,480	2254 740	Extension for reply within fourth month			
1255 2,010	2255 1,005	Extension for reply within fifth month			
1401 330	2401 165	Notice of Appeal			
1402 330	2402 165	Filing a brief in support of an appeal			
1403 290	2403 145	Request for oral hearing			
1451 1,510	1451 1,510	Petition to institute a public use proceeding			
1452 110	2452 55	Petition to revive - unavoidable			
1453 1,330	2453 665	Petition to revive - unintentional			
1501 1,330	2501 665	Utility issue fee (or reissue)			
1502 480	2502 240	Design issue fee			
1503 640	2503 320	Plant issue fee			
1460 130	1460 130	Petitions to the Commissioner			
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)			
1806 180	1806 180	Submission of Information Disclosure Stmt			
8021 40	8021 40	Recording each patent assignment per property (times number of properties)			
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))			
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))			
1801 770	2801 385	Request for Continued Examination (RCE)			
1802 900	1802 900	Request for expedited examination of a design application			

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **(\$)** 0

(Complete if applicable)

Name (Print/Type)	Christopher W. Corbett	Registration No. (Attorney/Agent)	36,109	Telephone (405) 607-8600
Signature	<i>Christopher W. Corbett</i>		Date	10/20/2003

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PTO/SB/06 (08-00)

PATENT APPLICATION FEE DETERMINATION RECORD						Application or Docket Number 5820.640			
CLAIMS AS FILED - PART I									
(Column 1)			(Column 2)						
FOR		NUMBER FILED		NUMBER EXTRA		SMALL ENTITY			
BASIC FEE (37 CFR 1.16(a))						OR OTHER THAN SMALL ENTITY			
TOTAL CLAIMS (37 CFR 1.16(c))		21	minus 20 =	* 1	0	RATE FEE			
INDEPENDENT CLAIMS (37 CFR 1.16(b))		3	minus 3 =	* 0	0	RATE FEE			
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d))						\$ 385			
* If the difference in column 1 is less than zero, enter "0" in column 2						\$ 0			
CLAIMS AS AMENDED - PART II									
(Column 1)			(Column 2)			(Column 3)			
AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR		PRESENT EXTRA		SMALL ENTITY		
	Total (37 CFR 1.16(c))		*	Minus	** 20	= 0	OR OTHER THAN SMALL ENTITY		
Independent (37 CFR 1.16(b))		*	Minus	*** 3	= 0	RATE FEE			
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))						x \$ 9 = 0			
(Column 1)						(Column 2)		(Column 3)	
AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR		PRESENT EXTRA		ADDITIONAL FEE		
	Total (37 CFR 1.16(c))		*	Minus	**	=	RATE FEE		
Independent (37 CFR 1.16(b))		*	Minus	***	=	x \$ 18 = 0			
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))						x 43 = 0			
(Column 1)						(Column 2)		(Column 3)	
AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR		PRESENT EXTRA		ADDITIONAL FEE		
	Total (37 CFR 1.16(c))		*	Minus	**	=	RATE FEE		
Independent (37 CFR 1.16(b))		*	Minus	***	=	x 86 = 0			
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))						+ 140 = 0			

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "2".
*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

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